

Planned Gift Donor Account Application

RAYMOND JAMES Charitable

RJ Charitable

Service Center

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Form #

FA #

Branch #

HOW TO NAME RAYMOND JAMES CHARITABLE AS BENEFICIARY- Individuals have the opportunity to name Raymond James Charitable as beneficiary of their will, trust, IRA, annuity contract or life insurance policy. By doing so, they create a "planned gift" to be distributed to the specific donor advised fund (DAF) at Raymond James Charitable after their passing.

WITH THIS TYPE OF ARRANGEMENT, ONCE THE ACCOUNT IS FUNDED THE CLIENT CAN:

- Designate donor advisors who will make grant and investment recommendations
- OR
- Request that periodic grants be made to a specific, eligible charity

TO SET UP THIS TYPE OF ARRANGEMENT:

- The client should complete and submit this application.
- In a will or trust, the attorney needs to use the legal name "Raymond James Charitable Endowment Fund" as the name of the beneficiary.
- The attorney can also include "for the benefit of the..." and mention the intended account name (i.e., the Smith Family Foundation).
- In the case of an IRA, annuity contract or life insurance policy, most beneficiary designation forms require the tax ID number of the beneficiary. The tax ID of Raymond James Charitable is 59-3652538.
- Please provide a copy of the beneficiary designation form and/or the portion of the document referencing Raymond James Charitable to complete our records.

Please contact RJCHARITABLE@RAYMONDJAMES.COM or 866-687-3863 or ext 77221 with any questions.

FA#

DONOR INFORMATION

Donor's name

Donor email address

Street address

Date of birth

City, state and zip

Phone number

JOINT DONOR INFORMATION

Donor's name

Donor email address

Street address

Date of birth

City, state and zip

Phone number

DONOR ADVISED FUND ACCOUNT NAME- The account name must contain one of the following: Account, Foundation, or Fund. For example: "Smith Family Fund". The account name must not contain Trust. If this criteria is not met, Fund will be used as the default.

PLANNED CONTRIBUTION- (Minimum of \$10,000) Please provide the source(s) and account number(s) for your planned contributions. Planned contributions can come from (but are not limited to) a will, pursuant to your revocable living trust, remainder beneficiary of a charitable remainder trust, beneficiary of an IRA account, beneficiary of an annuity contract, or beneficiary of a life insurance policy.

FINANCIAL ADVISOR INFORMATION

Please include all advisor names if this is a split rep.

FA Rep number to be associated with this account: _____

Financial advisor name

Branch number

Email address

Financial advisor name

Branch number

Email address

Financial advisor name

Branch number

Email address

Financial advisor name

Branch number

Email address

IRS rules prohibit financial advisors from being paid on accounts where they are related* to the donor advisor. As a result, if the FA is related, a discounted fee schedule will be applied to the account.

If the financial advisor is related to the successor or is the successor him/herself, the discounted fee schedule will be applied when the successor is placed in the role of active donor advisor.

Is the financial advisor(s) a donor, donor advisor or related* to the donor/joint donor advisor named on this account?

- Yes No

Is the financial advisor(s) the successor or related* to the successor(s) named on this account?

- Yes No

- FA ACKNOWLEDGMENT:** Financial advisors are required to attest that they have read, understand and agree to comply with the [Raymond James Charitable Financial Advisor Acknowledgment](#), which outlines the responsibilities and duties required for being a financial advisor associated with an RJ Charitable DAF account, and the [Raymond James Charitable Donor Advised Fund Program Guidelines](#), which sets forth the terms and conditions of RJ Charitable's DAF program.

*The definition of related for the purposes of this application is spouse, ancestor, child, grandchild, great grandchild; spouses of a child, grandchild, and great grandchild; brothers and sisters (of whole or half-blood) and brothers' and sisters' spouses.

FA#

RECOMMENDED INVESTMENT OPTION - I/We request the contribution be invested in the following option:

SELECT ONE

LIBERTY OBJECTIVES FOR ACCOUNT BALANCES OF ANY SIZE

- ▶ MONEY MARKET OBJECTIVE: [View objective and performance](#)
- ▶ INCOME OBJECTIVE: [View objective and performance](#)
- ▶ INCOME WITH GROWTH OBJECTIVE: [View objective and performance](#)
- ▶ BALANCED OBJECTIVE: [View objective and performance](#)
- ▶ GROWTH WITH INCOME OBJECTIVE: [View objective and performance](#)
- ▶ GROWTH OBJECTIVE: [View objective and performance](#)
- ▶ ENVIRONMENTAL, SOCIAL & GOVERNANCE (ESG) BALANCED OBJECTIVE: [View objective and performance](#)

OR

INVESTMENT ADVISOR PROGRAM (IAP): FOR ACCOUNT BALANCES OF \$500,000 OR MORE

- ▶ I/We want to use the investment advisor program (IAP) option.

Please select one IAP Investment Target Allocation:

- Income (0-20% equity)
- Income Primary (21-40% equity)
- Balanced (41-60% equity)
- Growth Primary (61-80% equity)
- Growth (81-100% equity)

The Financial Advisor (FA) Fee for this DAF account is: _____ (may not exceed 1.0%)

Depending on the investment approach chosen, additional fees and expenses may apply. These may include mutual fund expense ratios, or third-party manager fees (i.e. AMS, RJCS, Eagle). The Financial Advisor is responsible for disclosing the chosen investment approach and any additional fees, if applicable, to the donor advisor(s).

ACCOUNT SUCCESSION (This section may be modified at your request at a later date.)

Accounts may be administered in various ways after the death, resignation or incapacity of the initial donor advisor(s):

- Donors may name one or more successor donor advisors. The successor donor advisors may be a spouse, relative(s) or other individual(s), must be at least 18 upon serving, and cannot be the donor(s) listed above
- Donors may name one or more organizations to support with a customized distribution plan.

Please select your preference:

- ▶ A. I/We elect to name one or more organizations to support with a customized distribution plan. Please complete [Legacy Giving Recommendation Form 2785](#) (one form per organization) and upload it to Service Center.

OR

- ▶ B. I/We elect to designate one or more Successor Donor Advisors to make grant recommendations to qualified charities and other account decisions after the account is funded.

IMPORTANT NOTE: Successor Donor Advisors, upon your passing and upon accepting the role of Donor Advisor, will have the ability to change details about the account, such as name, investment option, charity recipients, etc. It is recommended you share any intentions you have for this account with them prior to their serving. Raymond James Charitable is not bound to those intentions and will follow recommendations from the current Donor Advisor(s) to the best of its ability.

If naming more than one successor donor advisor, please indicate by checking appropriate box below:

- ▶ The Successor Donor Advisors named below will serve at the same time.
 - ▶ Maintaining a single account (successors will have equal access and privileges and may submit grant recommendations independent of each other).
 - ▶ Splitting the account into separate portions, provided each is funded with a minimum of \$10,000. (Please indicate in Successor Donor Advisor information below the percentage of each share. Must total 100%.)

FA#

Please provide Successor Donor Advisor information below.

(If necessary, attach additional Successor Donor Advisor information on a separate sheet of paper.)

Successor Donor Advisor 1

_____		_____	
Name		Relationship to Donor	
_____		_____	
Date of birth	Phone number	Email	
_____		_____	
Street address	City	State	ZIP

If opting to split the account into separate portions, indicate percentage of share for Successor Donor Advisor 1: _____ %

Successor Donor Advisor 2

_____		_____	
Name		Relationship to Donor	
_____		_____	
Date of birth	Phone number	Email	
_____		_____	
Street address	City	State	ZIP

Indicate percentage of share for Successor Donor Advisor 2: _____ %

Successor Donor Advisor 3

_____		_____	
Name		Relationship to Donor	
_____		_____	
Date of birth	Phone number	Email	
_____		_____	
Street address	City	State	ZIP

Indicate percentage of share for Successor Donor Advisor 3: _____ %

If naming more than three successors, attach additional pages. If so, percentage for successor(s) attached: _____ %

Total = 100 %

SIGNATURES

I acknowledge that I have received and read the [Raymond James Charitable Donor Advised Fund Program Guidelines](#) and agree to its terms and/or conditions described therein. I hereby certify that to the best of my knowledge all information presented in connection with this application is accurate, and I will notify Raymond James Charitable promptly of any changes.

IMPORTANT: I understand that any contribution, once accepted, represents an irrevocable gift to Raymond James Charitable and is not refundable to me.

_____	_____	_____	_____
Donor Signature	Date	Joint Donor Signature	Date
_____	_____		
Financial Advisor Signature	Date		