

# Planned Gift Donor Account Application

**RAYMOND JAMES Charitable**

RJ Charitable  
Service Center  
SMARTForm   
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Form #

FA #

Branch #

**HOW TO NAME RAYMOND JAMES CHARITABLE AS BENEFICIARY-** Individuals have the opportunity to name Raymond James Charitable as beneficiary of their will, trust, IRA, annuity contract or life insurance policy. By doing so, they create a "planned gift" to be distributed to the specific donor advised fund (DAF) at Raymond James Charitable after their passing.

WITH THIS TYPE OF ARRANGEMENT, ONCE THE ACCOUNT IS FUNDED THE CLIENT CAN:

- Designate donor advisors who will make grant and investment recommendations
- OR
- Request that periodic grants be made to specific, eligible charities

TO SET UP THIS TYPE OF ARRANGEMENT:

- The client should complete and submit this application.
- In a will or trust, the attorney needs to use the legal name "Raymond James Charitable Endowment Fund" as the name of the beneficiary.
- The attorney can also include "for the benefit of the..." and mention the intended account name (i.e., the Smith Family Foundation).
- In the case of an IRA, annuity contract or life insurance policy, most beneficiary designation forms require the tax ID number of the beneficiary. The tax ID of Raymond James Charitable is 59-3652538.
- Please provide a copy of the beneficiary designation form and/or the portion of the document referencing Raymond James Charitable to complete our records.

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Please contact [RJCHARITABLE@RAYMONDJAMES.COM](mailto:RJCHARITABLE@RAYMONDJAMES.COM) or 866-687-3863 or ext 77221 with any questions.

FA#

**DONOR INFORMATION**

Donor's name

Donor email address

Street address

Date of birth

City, state and zip

Phone number

**JOINT DONOR INFORMATION**

Donor's name

Donor email address

Street address

Date of birth

City, state and zip

Phone number

**DONOR ADVISED FUND ACCOUNT NAME-** The account name must contain one of the following: Account, Foundation, or Fund. For example: "Smith Family Fund". The account name must not contain Trust. If this criteria is not met, Fund will be used as the default.

**PLANNED CONTRIBUTION (Required)-** (Minimum of \$10,000) Please provide the source(s) and account number(s) for your planned contributions. Planned contributions can come from (but are not limited to) a will, pursuant to your revocable living trust, remainder beneficiary of a charitable remainder trust, beneficiary of an IRA account, beneficiary of an annuity contract, or beneficiary of a life insurance policy.

[Empty text box for planned contribution details]

**FINANCIAL ADVISOR INFORMATION**

Please include all advisor names if this is a split rep.

FA Rep number to be associated with this account: \_\_\_\_\_

Financial advisor name

Branch number

Email address

Financial advisor name

Branch number

Email address

Financial advisor name

Branch number

Email address

Financial advisor name

Branch number

Email address

IRS rules prohibit financial advisors from being paid on accounts where they are related\* to the donor advisor. As a result, if the FA is related, a discounted fee schedule will be applied to the account.

If the financial advisor is related to the successor or is the successor him/herself, the discounted fee schedule will be applied when the successor is placed in the role of active donor advisor.

Is the financial advisor(s) a donor, donor advisor or related\* to the donor/joint donor advisor named on this account?

- Yes  No

Is the financial advisor(s) the successor or related\* to the successor(s) named on this account?

- Yes  No

- FA ACKNOWLEDGMENT:** Financial advisors are required to attest that they have read, understand and agree to comply with the [Raymond James Charitable Financial Advisor Acknowledgment](#), which outlines the responsibilities and duties required for being a financial advisor associated with an RJ Charitable DAF account, and the [Raymond James Charitable Donor Advised Fund Program Guidelines](#), which sets forth the terms and conditions of RJ Charitable's DAF program.

\*The definition of related for the purposes of this application is spouse, ancestor, child, grandchild, great grandchild; spouses of a child, grandchild, and great grandchild; brothers and sisters (of whole or half-blood) and brothers' and sisters' spouses.

**BRANCH ASSOCIATE INFORMATION**

**Note: Branch Associates will be added with the same access as the FA(s).**

1. \_\_\_\_\_  
 Branch Associate Name Email

2. \_\_\_\_\_  
 Branch Associate Name Email

3. \_\_\_\_\_  
 Branch Associate Name Email

4. \_\_\_\_\_  
 Branch Associate Name Email

**RECOMMENDED INVESTMENT OPTION** - I/We request the contribution be invested in the following option:

**LIBERTY OBJECTIVES: FOR ACCOUNT BALANCES OF ANY SIZE**

- Money Market** Objective - [View objective and performance](#)
- Income** Objective - [View objective and performance](#)
- Income with Growth** Objective - [View objective and performance](#)
- Balanced** Objective - [View objective and performance](#)
- Growth with Income Objective** - [View objective and performance](#)
- Growth** Objective - [View objective and performance](#)
- Environmental, Social & Governance (ESG) Balanced** Objective - [View objective and performance](#)

**OR**

**INVESTMENT ADVISOR PROGRAM (IAP): FOR ACCOUNT BALANCES OF \$500,000 OR MORE**

I/We want to use the Investment Advisor Program (IAP) option.

**Please select one IAP Investment Target Allocation:**

- Income** (0-20% equity)       **Income Primary** (21-40% equity)       **Balanced** (41-60% equity)
- Growth Primary** (61-80%)       **Growth** (81-100% equity)

\_\_\_\_\_ % (may not exceed 1.0%) **Financial Advisor Fee for this DAF.**

*Depending on the investment approach chosen, additional fees and expenses may apply. These may include mutual fund expense ratios, or third-party manager fees (i.e. AMS, RJCS, Eagle). The Financial Advisor is responsible for disclosing the chosen investment plan and any additional fees, if applicable, to the donor advisor(s).*

**IAP ACKNOWLEDGEMENT:** Financial advisors are required to attest that they have read, understand and agree to comply with the [IAP Investment Guidelines](#).

**ACCOUNT SUCCESSION** (This section may be modified at your request at a later date.)

**Accounts may be administered in various ways after the death, resignation or incapacity of the initial donor advisor(s):**

- Donors may name one or more successor donor advisors. The successor donor advisors may be a spouse, relative(s) or other individual(s), must be at least 18 upon serving, and cannot be the donor(s) listed above
- Donors may name one or more organizations to support with a customized distribution plan.

**Please select your preference:**

A. I/We elect to name one or more organizations to support with a customized distribution plan. Please complete [Legacy Giving Recommendation Form 2785](#) (one form per organization) and upload it to Service Center.

**OR**

**ACCOUNT SUCCESSION *continued*** (This section may be modified at your request at a later date.)

- B. I/We elect to designate one or more Successor Donor Advisors to make grant recommendations to qualified charities and other account decisions after the account is funded.

IMPORTANT NOTE: Successor Donor Advisors, upon your passing and upon accepting the role of Donor Advisor, will have the ability to change details about the account, such as name, investment option, charity recipients, etc. It is recommended you share any intentions you have for this account with them prior to their serving. Raymond James Charitable is not bound to those intentions and will follow recommendations from the current Donor Advisor(s) to the best of its ability.

**If naming more than one successor donor advisor, please indicate by checking appropriate box below:**

The Successor Donor Advisors named below will serve at the same time.

- Maintaining a single account (successors will have equal access and privileges and may submit grant recommendations independent of each other).
- Splitting the account into separate portions, provided each is funded with a minimum of \$10,000. (Please indicate in Successor Donor Advisor information below the percentage of each share. Must total 100%.)

**Please provide Successor Donor Advisor information below.**

*(If necessary, attach additional Successor Donor Advisor information on a separate sheet of paper. Total must equal 100%)*

**Successor Donor Advisor 1**

Name \_\_\_\_\_ Relationship to Donor \_\_\_\_\_  
Date of birth \_\_\_\_\_ Phone number \_\_\_\_\_ Email \_\_\_\_\_  
Street address \_\_\_\_\_ City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

If opting to split the account into separate portions, indicate percentage of share for Successor Donor Advisor 1: \_\_\_\_\_ %

**Successor Donor Advisor 2**

Name \_\_\_\_\_ Relationship to Donor \_\_\_\_\_  
Date of birth \_\_\_\_\_ Phone number \_\_\_\_\_ Email \_\_\_\_\_  
Street address \_\_\_\_\_ City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

Indicate percentage of share for Successor Donor Advisor 2: \_\_\_\_\_ %

**Successor Donor Advisor 3**

Name \_\_\_\_\_ Relationship to Donor \_\_\_\_\_  
Date of birth \_\_\_\_\_ Phone number \_\_\_\_\_ Email \_\_\_\_\_  
Street address \_\_\_\_\_ City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

Indicate percentage of share for Successor Donor Advisor 3: \_\_\_\_\_ %

